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Attorney's Docket No.: 33328.04905.US01/4905

: 1636

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

MADEMA bolicant: Nguyen et al. Art Unit

Examiner: Wessendorf, Teresa D. Serial No.: 10/677,977

Conf. No.: 9061 Filed : October 2, 2003 Cust. No. : 13565

METHODS OF GENERATING AND SCREENING FOR PROTEASES Title

WITH ALTERED SPECIFICITY

Mail Stop Amendment

Commissioner for Patents

P.O. Box 1450

Alexandria, VA 22313-1450

SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT TRANSMITTAL **LETTER**

Dear Sir:

Transmitted herewith are a Supplemental Information Disclosure Statement (2 pages), a Statement pursuant to 37 C.F.R. §§ 1.97(e) and 1.704(d) (2 pages), a Table of the Information Disclosure Statement (1 page), a copy of a Korean Notice of Preliminary Rejection (9 pages), and a return receipt postcard for filing in connection with the above-identified application. Because this Supplemental Information Disclosure Statement is accompanied by a Statement pursuant to 37 C.F.R. § 1.97(e) and § 1.704(d), no fee is due. However, should it be determined that a fee for filing these papers is required, the Commissioner is authorized to charge the unpaid amount to Deposit Account No. 50-0911, as stated below:

> The Commissioner is hereby authorized to charge any fees that may be due in connection with this paper or with this application during its entire pendency to Deposit Account No. 50-0911. A duplicate of this sheet is enclosed.

> > Respectfully submitted,

Stephanie L. Seidman Reg. No. 33,779

Attorney Docket No. 33328.04905.US01/4905 Address all correspondence to: 13565 Stephanie Seidman McKenna Long & Aldridge LLP

4435 Eastgate Mall, Ste. 400 San Diego, California 92121 Telephone: (619) 595-8010 Facsimile: (619) 595-8135

email: sseidman@mckennalong.com

CERTIFICATE OF MAILING BY "EXPRESS MAIL" "Express Mail" Mailing Label Number: EM 608914558 US. Date of Deposit: January 9, 2012.

1 hereby certify that this paper is being deposited with the United States Postal "Express Mail Post Office to Addressee" Service under 37 CFR §1.10 on the date indicated above and is addressed to: Mail Stop Amendment, Commissioner for Patents, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450.

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

P405408 Hicant Nguyen et al. Art Unit : 1636

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Seidman

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant

Nguyen et al.

Art Unit

1636

Serial No.

10/677,977

Examiner

Wessendorf, Teresa D.

Filed

October 2, 2003

Conf. No.

9061

Title

Cust. No.

13565

: METHODS OF GENERATING AND SCREENING FOR PROTEASES

WITH ALTERED SPECIFICITY

Mail Stop Amendment

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT IN ACCORDANCE WITH 37 C.F.R. §§ 1.97-1.98

Dear Sir:

This Supplemental Information Disclosure Statement is filed pursuant to 37 C.F.R. §1.97(d) and includes a statement in accordance with 37 C.F.R. §§ 1.97(e) and 1.704(d). The statement applies to the Korean Notice of Preliminary Rejection listed below. Because this Supplemental Information Disclosure Statement is accompanied by a Statement pursuant to 37 C.F.R. § 1.97(e) and § 1.704(d), no fee is due. However, should it be determined that a fee for filing these papers is required, the Commissioner is authorized to charge the unpaid amount to Deposit Account No. 50-0911.

As noted in the attached statement, the communication provided herewith was issued by a foreign patent office, in a corresponding foreign application, not more than three months prior to the filing of this statement. The communication was not received by any individual designated in 37 C.F.R. § 1.56(c) more than thirty days prior to the filing of this Supplemental Information Disclosure Statement.

In accordance with the duty of disclosure imposed by 37 C.F.R. § 1.56 to inform the Patent Office of all information known by Applicant or Applicant's representative that may be material to the examination of the subject application, Applicant's representative hereby provides this Information Disclosure Statement that is prepared in accordance with 37 C.F.R. §§ 1.97-1.98.

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Date of Deposit: January 9, 2012.

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Applicant : Nguyen et al. Attorney's Docket No.: 33328.04905.US01/4905 Serial No. : 10/677,977 Supplemental Information Disclosure Statement

Serial No. : 10/677,977 Filed : October 2, 2003

A copy of a Notice of Preliminary Rejection, and the English language translation, issued December 9, 2011, in connection with corresponding Korean Patent Application No. 10-2010-7018921 is provided. This Korean patent application is a divisional of Korean Patent Application No. 10-2005-7005800, which is a National Stage Entry of International Patent Application No. PCT/US2003/031719, which claims priority to U.S. Provisional Patent Application No. 60/451,388, to which the instant application claims priority.

In the Notice of Preliminary Rejection issued by the Korean Patent Office on December 9, 2011 (Item 1), the following document is cited: Harris et al., "Definition and redesign of the extended substrate specificity of Granzyme B," J. Biochem. Chem. 273(42):27364-27373 (1998). Harris *et al.* is made of record in the instant application in an Information Disclosure Statement, supplied with Forms PTO-1449 and copies of the cited non U.S. patent documents, filed September 7, 2006.

Although these documents and applications are made known to the Patent and Trademark Office in compliance with Applicant's duty of disclosure, such disclosure is not to be construed as an admission by Applicant or Applicant's representative that any of the documents, singly or in any combination thereof, is effective as prior art against the subject application. In accordance with 37 C.F.R. § 1.97(g) and (h), the filing of this Information Disclosure Statement shall not be construed to mean that a search has been made or that no other material information as defined in 37 C.F.R. § 1.56(b) exists.

Applicant respectfully requests that the Examiner review the foregoing document and it be made of record in the file history of the above-captioned application.

Respectfully submitted,

Stephanie Seidman Reg. No. 33,779

Attorney Docket No. 33328.04905.US01/4905

Address all correspondence to: 13565

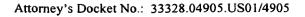
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Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

STATEMENT FOR SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT IN ACCORDANCE WITH 37 C.F.R. §§ 1.97(e) AND 1.704(d)

Dear Sir:

- This statement is being made for the Supplemental Information Disclosure 1. Statement accompanying this certification.
- I. Stephanie Seidman, hereby state that the communication provided herewith 2. in the Supplemental Information Disclosure Statement was issued by a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this Information Disclosure Statement. Further, the communication was not received by any individual designated in C.F.R. §1.56(c) in the instant application more than thirty days prior to the filing of the Supplemental Information Disclosure Statement provided herewith. The communication is from the Korean Patent Office. The communication from the Korean Patent Office, dated December 9, 2011, was issued in connection with Korean Patent Application No. 10-2010-7018921. Korean Patent Application No. 10-2010-7018921 is a divisional of Korean Patent Application No. 10-2005-7005800, which is a National Stage Entry of International Patent Application No. PCT/US2003/031719, which claims priority to

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Applicant

: Nguyen et al.

Attorney's Docket No.: 33328.04905.US01/4905 Statement in Accordance with 37 C.F.R. §§ 1.97(e) and 1.704(d)

Serial No. Filed

: 10/677,977

: October 2, 2003

U.S. Provisional Patent Application No. 60/451,388, to which the instant application claims priority.

The person making this statement is a registered practitioner and signs below 3. on the basis of information in the attorney's file.

Respectfully submitted,

Stephanie Skidman Reg. No. 33,779

Attorney Docket No. 33328.04905.US01/4905

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Sheet <u>1</u> of <u>1</u> Table of the Information Disclosure Statement Application No. Attorney's Docket No. U.S. Department of Commerce Patent and Trademark Office 33328.04905.US01/4905 10/677,977 Applicant Nguyen et al. List of Patents and Publications for Applicant's Group Art Unit Information Disclosure Statement Filing Date October 2, 2003 1636 (37 CFR §1.98(b))

			U.S. Patent	Documents			
Examiner Initial	Desig. ID	Document Number	Publication Date	Patentee	Class	Subclass	Filing Date If Appropriate
			N	one	,		

	Foreign	Patent Docu	ments or Pub	olished Foreign Pa	atent Applic	ations	
Examiner Initial	Desig.	Document Number	Publication Date	Country or Patent Office	Tr Yes	anslation No	717.0 1.3m
	<u> </u>		N	one			

Other Documents (include Author, Title, Date, and Place of Publication)					
Examiner	Desig.				
Initial	ID.	Document			
/T.W./	1.	English language translation of a Notice of Preliminary Rejection, issued December 9, 2011, in connection with corresponding Korean Patent Application No. 10-2010-7018921, 9 pages.			

Date Considered **Examiner Signature** 03/15/2012 /Teresa Wessendorf/ EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.